

Social, Economic and Reconnaissance Survey to Establish Authorized Forest Community

FIELD INFORMATION: EASY NOTES



PEOPLE, RULES AND ORGANIZATIONS, SUPPORTING THE
PROTECTION OF ECOSYSTEM RESOURCES (PROSPER)

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INTRODUCTION

This "Easy Note" helps the Socio-economic and Reconnaissance (SER) Survey team to be able to capture information from the process in real-time. It integrates a variety of participatory planning methods and tools that are used at appropriate segments of the survey to rationalize local natural resource management and use issues and concerns. In some segments, additional tools are employed to provide more clarity on issues, in the bid to define and pursue credible solutions to resource governance and related challenges, deemed important to experienced local households closest to the resources.

A Note-taker from the SER Survey team is identified and delegated the responsibility to capture information from the survey on this Easy Note.

Specific objectives of the survey

The social, economic and reconnaissance survey is carried out to;

1. Introduce FDA and her key partners to the applicant community;
2. Create an environment that would enable FDA to directly learn from communities so that subsequent actions are likely to be more rooted, more productive and in harmony with what is already happening in the communities in terms of natural resources management and use, and related areas;
3. Credibly and correctly plan and situate forest management activities as such as to take on board pertinent concerns and aspirations of communities (clients) about their resources and environment;
4. Gauge the level and kinds of interests of every sector of the community to establish a community forest, and to raise awareness in planned activities; to ensure that free prior informed consent is secured and foster interactive participation of residents;
5. Define, select and rank priority issues, opportunities and challenges in the context of forest resource management and use, local governance structures, social capital, threats to biodiversity and existing knowledge about climate change and its impact
6. Obtain a broad view of local capabilities, assets (material, social/institutional) and power dynamics.
7. Develop baselines which can be used to monitor, review and evaluate policies/by-laws and programs

1. COMMUNITY ADMINISTRATIVE INFORMATION

A. Largely use administrative records as primary source to fill this section, while verifying information with SER survey participants.

1. Name of County: _____
2. Name of Superintendent: _____
3. Name of District: _____
4. Name of Commissioner: _____
5. Name of Statutory District Superintendent: _____

B. Identify the aggregate communities comprising the "Community" applying for Authorized Forest Community Status (consecutively, from the town/village where the SER survey is being conducted);

Focal Community (Central town/village):

Name of Town/ Village	Statutory District	Administrative District	Name of Chieftain	Name of Clan	Geographical Reference		Distance or Time from Focal Town
					Longitude	Latitude	
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							

(For additional number of towns/ villages comprising the community, please attach a similar table as above)

c. Identify and Describe important historical facts about the community (Historical Timelines)

Focus Question: Going back as far as you could remember, what are the major events that have occurred in this community? (Prepared flip chart)

1. How long has this community been in existence?

2. How was this community founded?

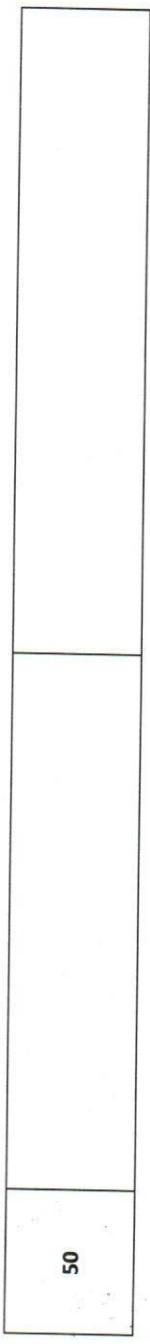
3. When did different social, economic and ethnic groups settle in the community?

Timeline in Years	Group	Identify the ethnic or economic group and describe the circumstances/ event under which they settled in the community
5	Ethnic	
10	Economic	
15	Ethnic	
20	Economic	
30	Ethnic	

40	Ethnic	
	Economic	
	Ethnic	
50	Economic	

4. How has the community changed over time and what has caused those changes?

Timeline in Years	Observed Changes over the years	Probable Cause of Changes
5		
10		
15		
20		
30		
40		



50

2. DEMOGRAPHIC INFORMATION

Which are the major and minor ethnic and religious groups in the community? What is the gender composition and age structure of the community? How literate is this community?

Name of Town/ Village	Major Ethnic Groups	Minor Ethnic Groups	Major Religion(s)	Total Population	No. of Female	No. of Male	No. of HH Heads		Literacy Rate %	
							Female		Male	
							≤35	≥36	≤35	≥36
1										
2										
3										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15										

(For additional number of towns/ villages comprising the community, please attach a similar table as above)

3. INFRASTRUCTURE
- A. Use Participatory Mapping to help the team to have a vivid picture of the community and the infrastructures. Please indicate the number and name of each infrastructure, where applicable – WATER AND SANITATION

Name of Town/ Village	Creek	Traditional Well	Well with Hand Pump	VIP Latrine	Open Pit	Flush Toilet
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						

(For additional number of towns/ villages comprising the community, please attach a similar table as above)

B. Use Participatory Mapping to help the team to have a vivid picture of the community and the infrastructures. Please indicate the number and name of each infrastructure,
where applicable – **HEALTH FACILITIES**

	Name of Town/ Village	Gov. Hospital	Gov. Health Center	Gov. Health Clinic	Private Clinic/ Hospital	Mobile Clinic/ Drug Peddler	Traditional Healer
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							

(For additional number of towns/ villages comprising the community, please attach a similar table as above)

- B. Use Participatory Mapping to help the team to have a vivid picture of the community and the infrastructures. Please indicate the number and name of each infrastructure,
where applicable – **EDUCATIONAL FACILITIES**

	Name of Town/ Village	Pre-School	Primary School	Secondary School	Vocational Institution	College	Traditional School (Bush)
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							

(For additional number of towns/ villages comprising the community, please attach a similar table as above)

C. Use Participatory Mapping to help the team to have a vivid picture of the community and the infrastructures. Please indicate the number and name of each infrastructure, where applicable – **RELIGIOUS FACILITIES**

	Name of Town/ Village	Church Building	Church in other Building/ Open	Mosque Building	Mosque in open other Building	Traditional Shrine in Town	Traditional Shrine in forest
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							

(For additional number of towns/ villages comprising the community, please attach a similar table as above)

Use Participatory Mapping to help the team to have a vivid picture of the community and the infrastructures.

D. What types of transport facilities are available in the community?

	Type of Transportation	Who/Which villages or towns use it?	How do they access it/ How much does it cost?
1	Motor Bike	All except Voreyretta.	by arrangement / \$ 900
2	Taxi Cab	Boi, Konia, Lyeawo, Barriuen	Parking station / \$750
3	Big Bus	N/A	N/A
4	Mini-Bus	N/A	N/A
5	Goods Truck	N/A	N/A

E. What sources of household energy is available to the community?

	Source of House Hold Energy	Who uses it and for what purpose?	How do they access it/ How much does it cost?
1	Firewood	All Towns / households / battery	Send from Bush / \$100 bundle
2	Charcoal	households / hot water, drying meat/fish/burn	Coal or buy / \$250.00
3	Central Power Grid	N/A	N/A
4	Electricity Generator	Konia / household and business	Renting / \$2000 month
5	Petroleum Products (gas, petrol, kerosene, oils, etc.)	Lay Lam - Barriuen Boi Konia	\$600 Ls Gasoline \$700 Ls petrol

F. What types of market facilities are available to the community?

	Type of Market Facilities	Who uses it?	How do they access it?
1	Central Market Days	Konia, other, business people	

2	Stores	N/15
3	Shops	Boi, Barzien, Layeama, Konia
4	Kiosk/ Stalls	Boi, Barzien, Konia, Lugeana Yenege
5	Petty Trading/ Box	#11 Towns.

6. What types of agricultural extension infrastructure are available to the community?

Type of Agricultural Extension Facilities	Who uses it?	How do they access it?
1 Agric. Extension Office.		
2 Forestry Extension Office		
3 Veterinary Office		
4 Livestock Slaughter House		
5 Produce Storage Facility		
6 Drying Floors		
7 Private Agro input Dealer		
8 Processing Facilities		

4. AGRICULTURAL PRODUCTION AND TREE CROPS

A. What are the main crops cultivated by this community? When do they harvest? Which are the leading producer towns/villages?

Crops	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Leading Producer Town
Rice													
Cassava													
Eddoes													
Yam													
Potato													
Plantain													
Banana													
Pepper													
Bitter Ball													
Egg Plant													
Cabbage/ Collard													
Tomatoes													
Beans (Any/ all)													
Peanuts/ G. Pea													
Ginger													
Pine apple													
Sugar Cane													

B. List the top 5 priority crops produced by this community. (use a ranking method)

- list the top 5 priority topics produced

 1. _____
 2. _____
 3. _____
 4. _____
 5. _____

C. When do farmers carry out each of the listed activities, with reference to the priority crops you listed above?

(Seasonal Calendar: Use numbers assigned to crops in your priority list to enter against a relevant activity)

(Please note: Some activities might not be relevant for some groups)

- D. Which type of farming system(s) do you use in this community? (If generally used, then state "ALL". If specific area, then name the area).

System Description	Name the Components of the System	Where can you find the System?
Single vegetable crop	Beans	All Towns
Single annual crop	Pepper, Potato	All Towns
Different crops mixed together on same land	Rice, Peanuts, Beans, Corn, Eddoes	All Towns
Single Tree crop type (e.g. oil Palm)	Corn, Rice, Eddoes	/
Mixed Tree Crops on the same land	Coco, Coffee, Palm, R	N I A

Final 3,2019

Tree crops mixed with annual crops	N/A
Timber Trees mixed with Tree crops	Cocoa, Coffee, kola.
Timber trees mixed with annual crops	All Trees N/A

E. What types of Livestock are raised in the community?

Types of Livestock	Who owns them? House Holds/ Individuals/ Private Business/ etc.	Why is this livestock preferred?	Are they in their 10s, 100s, 1000s?
Cattle (Cow)	N/A	N/A	N/A
Sheep	Govt Ban	-	-
Goat	Govt	-	-
Poultry	Owned by household.	Eating, gift commercial	1,000s.
Pigs	Private business.	Food & Commerical	100's
Other farmed animals...			
Snails	N/A	N/A	N/A
Fish	N/A	N/A	N/A
Dogs,	Household / individual	Barking good security commercial	100's

April 3

F. What system of Livestock management is used in this community?

System Description	Name the Components of the System	Challenges with this system	Where can you find this System?
Allowed to roam free range	chicken, dogs.	destroy other domesticated animals eaten horses and stay → all down	Konic, Berrien
Placed in Pens/ paddocks	Pig	-	-
Hherded to a particular feeding field and back	R/A	R/A	A / A
Moved from one feeding area to stay in another			
Placed in a pool			

April 3

5. LIVELIHOOD AND SMALL-SCALE ENTERPRISES

A. Which Agriculture-based small-scale processing enterprises do you have in your community? (Participatory Resource Mapping)

Describe Agro-based small-scale Processing Enterprise	How are raw materials sourced?	Which villages/ towns is it operational?	Who owns it?	What are the Challenges?
1 Rice Mills Stearns Hill Oil mill	Raw materials are taken to the mill by private owners and Boi Steamer On a commission basis	Inyamwe, Konia Private citizens	Boi Steamer	
2			-	
3	Stearns mill Sour cream		-	
4	Almond oil mill			
5				

B. Which Forest-based small-scale processing enterprises do you have in your community? (Participatory Resource Mapping)

	Describe Forest-based small-scale Processing Enterprise	How are raw materials sourced?	Which villages/ towns is it operation?	Who owns it?	What are the Challenges?
1					
2					
3					
4					
5					

- G. Name the main Non-Timber Forest Resources/ Products in this community? When are they harvest or sold? Which are the leading producer towns/ villages? (Use Resources Mapping: Within this context, Wildlife is also considered NTFP. Key: H=time harvested; S=time sold)

	NTFP / Resource	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Leading Producer Town
1	Country Spice (Xylopia)													
2	Bush Pepper													
3	Bitter Kola (<i>Garcinia cola</i>)													
4	Bush Kola (<i>Cola nitida</i>)													
5	Makindu Palm (wild palm)													
6	Griffonia													
7														
8														
9														
10														
11														
12														

- H. List the top 5 priority NTFPs in this community; their economic values; harvesting methods...(use a ranking method)
- | Top 5 Priority NTFPs/
Resources | Why is this NTFP preferred by your
community? | What methods do you use to harvest this
NTFP? | Estimate Seasonal
Harvest (Unit= No/
bags/ bundles...) | What is the Cost per Unit? |
|------------------------------------|---|--|--|----------------------------|
| 1 Bush meat | food, ceremonial | traps, hunting | honey. | 3,500 CED |
| 2 Honey | food, medicine, ceremonial and sell honey free, snuff | honey, snuff | 1 liter. | 4500 D |

3	Rush Popper	food, Commercial medicine;	sell trees and pick	Nutrients	\$1000
4	Bitter Kola	commercial medicine	sell trees and pick.	Kerosene	\$4000
5	Fish	food, Commercial	set traps	Dile	\$1000

1. What does the market of each NTFP look like...? (Interview NTFP traders during Transect Walk)

Top 5 Priority NTFPs/ Resources	How does your Households use this NTFP?	Who buys this NTFP from you within/ outside your community?	Who fixes the price of the NTFP?	What are the Challenges in the Trade of this NTFP?
1 Bush meat	eating, selling	Within: households Outside: business people	Seller	Selling Traps, Tax cover to hunting, market
2 Honey	medicine (ulcers, measles) Commercial food.	Within: Outside:	Seller	Acquiring the honey from the bee or beeill.
3 Rush Popper	Selling about medicine (infects)	Within:	Seller	difficulties in producing it from the bee.
4 Bitter Kola	High market Value, medicine (typhoid)	Within: Outside:	seller	Li
5 fish	Qutting Commercial.	Within:	Seller	

		Outside:
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6. GOVERNANCE

- A. What do you understand by the word "STAKEHOLDER"? (Summarize the best two answers) *Decision makers' people who control*

B. Governmental Institutions – National and/or County Levels

	Stakeholder Group	Interest in Establishing a CF	Role in Establishment of CF	Potential Role in Managing CF	Importance in Decision-making	Knowledge Level on CF issues	Key Allies
1	MQA			✓	✓	✓	
2	FDA		attend meeting provide educate			✓	NDP
3	LLA						
4	LME						
5	MOT MOH -			✓ attend weekly advises	✓	✓	high
				✓			✓
							NDP

C. Non-Governmental Institutions and Projects within and around the community

	Stakeholder Group	Interest in Establishing a CF	Role in Establishment of CF	Potential Role in Managing CF	Importance in Decision-making	Knowledge Level on CF issues	Key Allies
1	CATO		educating on land issue		✓	✓	high FDT

2	TARA (ent)
3	Read liber (ent)
4	PLA (ent) - hnt.
5	

D. Community-Based Organizations, including Gender, faith-based and Agricultural Institutions within the community

	Stakeholder Group	<i>Interest in Establishing a CF</i>	<i>Role in Establishment of CF</i>	<i>Potential Role in Managing CF</i>	<i>Importance in Decision-making</i>	<i>Knowledge Level on CF issues</i>	<i>Key Allies</i>
1	Winch Club (Savu)	Ceremony club	Saving Club				
2	Young Scouting Club						
3	G-Wae (Asic)						
4	Concern Scouting Club						
5	Superstar Club						
6	Birthday Club						

E. Forest-Based Economic Groups, including Timber, Hunting and other NTFP harvesting/trade Groups within the community

	Stakeholder Group	<i>Interest in Establishing a CF</i>	<i>Role in Establishment of CF</i>	<i>Potential Role in Managing CF</i>	<i>Importance in Decision-making</i>	<i>Knowledge Level on CF issues</i>	<i>Key Allies</i>
1							
2							
3							
4							

F. Stakeholder Analysis (Stakeholder Matrix)

		INFLUENCE OF STAKEHOLDER	
		Little or/ no Importance	Some Importance
SIGNIFICANT INFLUENCE	Unknown	A	
	Significant Influence	C	
SOMEWHAT INFLUENTIAL	Somewhat Influential	B	
	Little/ No Influence	D	
INFLUENCE OF STAKEHOLDER		Little or/ no Importance	Some Importance

Box A

These are stakeholders who seem to have a high degree of influence on the Community Forest, who are also of high importance for its success. It will be necessary to create good working relationships with these stakeholders, to ensure an effective coalition of support for the functioning of the CF; e.g. senior County officials, FDA and political Representatives.

Box B

These are stakeholders of high importance to the success of the Community Forest, but with low influence. This means that they will require special initiatives if their interests are to be protected. These may include traditionally marginalized groups, e.g. non-literate community people, youth, seniors and women. They may traditionally own the forest but have little 'voice' in its management.

Box C

These are stakeholders with high influence. They can affect the project outcomes, but their interests are not necessarily in line with the overall goals for which the community intends to manage the forest. They might be County Officials or economic group, who can exercise considerable discretion over general land resource uses, including forests. This could mean that these stakeholders may be a source of significant risk, and they will need careful monitoring and management.

Box D

The stakeholders in this box have low influence on the community forest management. They are of low importance to the community forest objectives. Therefore, they may require limited monitoring or evaluation, since they are of low priority.

G. Dispute resolution and management. How do you resolve disputes related to land, NTFP, Agriculture, etc.?

Dispute Type	Where or Who do you report to?	How are they resolved	What kinds of penalties or sanctions are involved?
Access to NTFP, including wildlife	16 older 130	elder	fines
Agricultural or Farm Land	elder	resolved by family	fines
Land Seizure or encroachment within community	elders Board	Resolved by family in dispute, both parties in retrieved	fines
Right of use of Forest resources between towns/ villages/ clans	elders	involve state	fines
Boundaries between towns/ villages/ Clans	no boundary conflict	—	—

H. Rules, Taboos and Penalties: List at most five (5) activities with special rules in your community; what are the likely penalties?

Activities with Special Rules	Who makes sure these Rules are respected?	How are defaulters addressed?	What kinds of penalties or sanctions are involved?
—	✓	✓	—
—	—	—	—
—	—	—	—
—	—	—	—

7. LAND TENURE AND PROPERTY RIGHTS

A. From what you can tell, what is the range or size of your forest...?

- a) ≤5,000 ha;
- b) ≥5,001ha ≤49,999ha;
- (c) ≥50,000ha

B. What type of land title do you have for your forest land? (Please produce documentation to verify)

- a. Aborigines Grant Deed
- b. Public Land Deed
- c. Tribal Land Deed Certificate
- d. Warranty Deeds

C. Had your Land Deed been authenticated and certificated? YES ____ NO ____ If YES, by which institution?

- a. Ministry of Lands, Mines and Energy
- b. Land Commission

D. Do you consider this forest land as Customary Land? YES ____ NO ____ If YES, it means that through longstanding rules recognized by this community, the entire community knows that this forest land is owned by;

- a. An individual: (state name)
- b. Group of people:
- c. Community people

E. This is a land set aside for the purpose of forestry.

8. ECOSYSTEMS AND RESOURCES

A. State the proportion of the forest that could be classified into various conditions of the forest; Use Participatory Mapping)

Forest Status	Closed Forest	Disturbed Forest	Fallow Regrowth	Cultivation	Other:
Estimated %					
Where can you find them?					

B. What natural features can you find within the forest?

Natural Features	How Big are they?	How many can you find?	Where do you find them?
Water Shed/ Catchments	Small.	15	
Water Ways/ stream/ Creeks	18 Small & large	15	All Towns
Rivers	very big	1 (Large)	Bottoms, by banks
Waterfalls	small.	1	Mangroves
Springs	n/a	n/a	n/a
Swamps	big	30+	All Towns
Mangrove	n/a	n/a	n/a
Geological Formations (rock outcrops and patterns)	20+ Large rocks	20+	All Towns

C. Forest Plants with high economic and multipurpose importance in your community

	Common Name	Tree/ Shrub/ Herb...	What is the Most Important part of the Plant?	What is this Plant Used for?	What Other Uses Does this plant have?
1	cotton Tree.	Tree	trunk.	wawa /planks	
2	gana ganc	Shrubs	bark / root	medicine	
3	Kosia	Tree	bark	medicine	
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					

D. Forest animals with high social economic and biodiversity importance in your community

	Primates (e.g. Diana Monkey)	Duikers	Rodents and Small Mammals (e.g. Rabbits, Mouse, Bats)	Reptiles (e.g. snakes)	Fish	Moths and Butterflies; Beetles	Birds
1	White Tail leopard	Black buck	Opossum	Crocodile	Raccoon	Catfish	All butterflies, Eagle
2	Taccho	Zebra.	Ground hogs	Iguana	Elephant	Elephant	Hawk
3	Red antelope	Duiker	mongoose	lizards	Lopard	Leopard	Blue tay
4	Onion monk	water Deer	Tree squirrels	frogs	Wolf	Tarapio	Robin
5	Polar monkey	Red deer	Ground Squirrel	Boa.	Chetah.		Pawot
6	Foolish Deer	Pudu	Pigmy Porcupine	Black snake	Bush cow		Swan.
7	Muntjac	Ant-eater			Buffalo		elephant
8			Bush rat	Cobra	Hippo		Pigeon
9				Cassowary			Dove
10				Snail			
11				Chameleon			
12				Scorpion			
13							
14							
15							

9. THREATS TO COMMUNITY FORESTRY BIODIVERSITY LANDSCAPE
 A. Importance of threats within the proposed Community Forest

	Type of Threats	Immediate Impact on the Forest Environment	Who is doing it?	Who is Authorizing it?	Where is it happening?
1	Encroachment of Settlements	—	—	—	—
2	Concession Mining	N/A	N/A	N/A	—
3	Concession Agriculture	—	—	—	—
4	Concession Forestry	N/A	—	—	—
5	Charcoal Production	dechanging forest areas	all citizens	no one	All Torsos
6	Unregulated Fishing	reducing fish species	outsiders	Forest Owners Chiefs, Elders	Leyuanal
7	Cross-border Encroachment	—	—	—	—
8	Unregulated Bushmeat Trade	reducing amount species	citizens	All citizens	All Torsos
9	Unregulated Chain Saw Use	deforestation	citizens	—	—
10	Shifting Cultivation	deforestation	all citizens	—	—

12	Coffee and Cocoa		
13	Rubber Plantations		
14	Sugar Cane Plantation		
15	Private Oil Palm Plantations		
15	Artisanal Mining Activities (Gold, diamonds, etc.)		

10. AUTHORIZATION PAGE

We the undersigned, having participated in the above Socio-economic and Reconnaissance Survey and reviewed its content, herewith register our endorsement that this is a true representation of the community's view. We now affix our signatures, with the understanding that the results will be validated with the wider community comprising the applicant community.

Signed: Community Members;

	Name	Position	Signature	Date
1.	Peter F. Zogiboi	Asst. chairman		April 5, 2019
2.	Mohamed M. Sealees	Secretary		April 5, 2019
3.	Tonnes Zogiboi	Youth member		April 5, 2019
4.	Yonah Mulbah	Women Leader		April 5, 2019
5.	Yakubus Zogiboi	T.C.		April 5, 2019

Witnessed By:

	Institution	Position	Signature	Date
1.	FDA, Community Forest Department	G. Alvin Roberts - Sr. Ext Officer		April 5, 2019
2.	FDA, CF or other Department	Audell G.F. Dunker Ext. Officer		April 5, 2019
3.	Environmental Protection Agency	Edwin P. Kegelle EPA. Insp.		April 5, 2019
4.	CSO: Aduin Gobek	Sgt. Spec. Officer		April 5, 2019
5.				

Endorsed By:

	Name	Position	Signature	Date
	Gertrude D.K. Hyalcy	T.M.		April 9, 2019



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